# NP-Completeness of Non-Adjacency Relations on Some 0-1 Polytopes

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**Abstract:** In this paper, we discuss the adjacency structures of some classes of 0-1 polytopes including knapsack polytopes, set covering polytopes and 0-1 polytopes represented by complete sets of implicants. We show that for each class of 0-1 polytope, non-adjacency test problems are NP-complete. For equality constrained knapsack polytopes, we can solve adjacency test problems in pseudo polynomial time.

### 1 Introduction

It seems that an adjacency criterion for a class of polyhedra could provide a basis of an efficient algorithm which uses some sorts of local search technique. For this purpose, it is necessary to have an efficient algorithm for checking adjacency. In [16], Papadimitriou showed that the problem of checking non-adjacency on the travelling salesman polytope is NP-complete. So, one cannot expect an efficient edge-following type algorithm for the travelling salesman problem. However, there exist some classes of combinatorial polytopes, including matching polytopes [4, 6], vertex packing polytopes [17, 6], set partitioning polytopes [1, 2, 3] and set packing polytopes [12], such that we can decide the adjacency of two given vertices in polynomial time.

In this paper, we show that for some well-known classes of combinatorial polytopes, the non-adjacency test problems are NP-complete. We deal with the following classes of polytopes (equality constrained) knapsack polytopes, set covering polytopes and 0-1 polytopes given by complete sets of implicants, In the last section, we show that the adjacency test problems defined on equality constrained knapsack polytopes are solvable in pseudo polynomial time.

# 2 Preliminaries

In this section, we describe some fundamental properties without proofs.

First, we describe a necessary and sufficient condition of non-adjacency.

**Lemma 2.1** Let  $\Omega \subseteq \{0,1\}^n$  be a set of 0-1 vectors and  $\mathbf{x}^1, \mathbf{x}^2$  two vectors in  $\Omega$ . The vertices  $\mathbf{x}^1$  and  $\mathbf{x}^2$  are non-adjacent on the convex hull of  $\Omega$  if and only if there exists a set of vectors  $\{\mathbf{y}^1, \mathbf{y}^2, \dots, \mathbf{y}^k\} \subseteq \Omega \setminus \{\mathbf{x}^1, \mathbf{x}^2\}$  such that  $k \leq n$  and the line segment connecting  $\mathbf{x}^1$  and  $\mathbf{x}^2$  intersects the convex hull of  $\{\mathbf{y}^1, \mathbf{y}^2, \dots, \mathbf{y}^k\}$ .

Since  $k \leq n$ , the above lemma implies that the property of being non-adjacent is in NP. Next, we give a necessary condition of non-adjacency. **Lemma 2.2** Let  $\Omega \subseteq \{0,1\}^n$  be a set of 0-1 vectors and  $\mathbf{x}^1$ ,  $\mathbf{x}^2$  two vectors in  $\Omega$ . If  $\mathbf{x}^1$  and  $\mathbf{x}^2$  are non-adjacent on the convex hull of  $\Omega$ , then there exists a vector  $\mathbf{y} \in \Omega$  such that  $\mathbf{x}^1 \neq \mathbf{y} \neq \mathbf{x}^2$  and

for each index 
$$j$$
, either  $x_j^1 \le y_j \le x_j^2$  or  $x_j^1 \ge y_j \ge x_j^2$ . (2.1)

When a sequence  $\rho = (\mathbf{x}^1, \mathbf{y}, \mathbf{x}^2)$  of distinct 0-1 vectors in  $\{0, 1\}^n$  satisfies (2.1), we say  $\rho$  is monotone.

At last of this section, we consider the equality constrained 0-1 polytope.

**Lemma 2.3** Let  $\Omega = \{ \boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} = \boldsymbol{b} \}$  where A is an  $m \times n$  matrix and  $\boldsymbol{b}$  is an m-dimensional vector. For any pair of 0-1 vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2 \in \Omega$ , the following three statements are equivalent.

(1) Two vertices  $\mathbf{x}^1$  and  $\mathbf{x}^2$  are non-adjacent on the convex hull of  $\Omega$ .

(2) There exists a vector  $\mathbf{y} \in \Omega$  such that  $\mathbf{x}^1 \neq \mathbf{y} \neq \mathbf{x}^2$  and  $(\mathbf{x}^1, \mathbf{y}, \mathbf{x}^2)$  is monotone.

(3) There exists a pair of vectors  $y^1, y^2 \in \Omega \setminus \{x^1, x^2\}$  satisfying  $x^1 + x^2 = y^1 + y^2$ .

The equality constrained 0-1 polytopes are discussed in [15, 14].

# 3 Knapsack Polytopes

In this section, we show that the following two problems are NP-complete.

## EQUALITY KNAPSACK NON-ADJACENCY (EKN)

INSTANCE : An *n*-dimensional positive integer vector  $\boldsymbol{a}$ , a positive integer b and a pair of 0-1 vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2$  in  $\Omega^{\text{EKN}} = \{\boldsymbol{x} \in \{0,1\}^n \mid \boldsymbol{a}^T \boldsymbol{x} = b\}.$ 

QUESTION : Are the vertices  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  non-adjacent on the convex hull of  $\Omega^{\text{EKN}}$ ?

## KNAPSACK NON-ADJACENCY (KN)

INSTANCE : An *n*-dimensional positive integer vector  $\boldsymbol{a}$ , a positive integer *b* and a pair of 0-1 vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2$  in  $\Omega^{\text{KN}} = \{\boldsymbol{x} \in \{0,1\}^n \mid \boldsymbol{a}^T \boldsymbol{x} \leq b\}.$ 

QUESTION : Are the vertices  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  non-adjacent on the convex hull of  $\Omega^{\text{KN}}$ ?

First, we discuss **EKN**.

Theorem 3.1 EKN is NP-complete.

**Proof.** Lemma 2.1 implies that the problem is in NP. We shall now transform the following NP-complete problem to **EKN**.

## **<u>PARTITION</u>** [8, 13]

INSTANCE : A k-dimensional positive integer vector  $\boldsymbol{c} = (c_1, c_2, \dots, c_k)$ .

QUESTION : Let L be the sum of the elements of c. Does there exist a 0-1 vector  $z \in \{0,1\}^k$  satisfying  $c^T z = L/2$  ?

Put  $\boldsymbol{a} = (c_1, \dots, c_k, L/2, L/2)$  and  $\Omega^{\text{EKN}} = \{\boldsymbol{x} \in \{0, 1\}^{k+2} \mid \boldsymbol{a}^T \boldsymbol{x} = L\}$ . Let  $\boldsymbol{x}^1, \boldsymbol{x}^2 \in \{0, 1\}^{k+2}$  be the pair of vectors

$$\boldsymbol{x}^{1} = (1, 1, \dots, 1, 0, 0)^{T}$$
 and  $\boldsymbol{x}^{2} = (0, 0, \dots, 0, 1, 1)^{T}$ .

Clearly,  $\boldsymbol{x}^1, \boldsymbol{x}^2 \in \Omega^{\text{EKN}}$ . We shall show that  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  are non-adjacent on the convex hull of  $\Omega^{\text{EKN}}$  if and only if there exists a 0-1 vector  $\boldsymbol{z} \in \{0,1\}^k$  satisfying  $\boldsymbol{c}^T \boldsymbol{z} = L/2$ . Lemma 2.2 shows that when the pair is non-adjacent, there exists a 0-1 vector  $\boldsymbol{y} \in \Omega^{\text{EKN}}$ such that  $\boldsymbol{x}^1 \neq \boldsymbol{y} \neq \boldsymbol{x}^2$ . Since  $\boldsymbol{c}$  is a positive vector, it is clear that exactly one of the last two elements of  $\boldsymbol{y}$  is 1. Thus the 0-1 vector  $\boldsymbol{z} \in \{0,1\}^k$  corresponding to the first kelements of  $\boldsymbol{y}$  satisfies the equality  $\boldsymbol{c}^T \boldsymbol{z} = L/2$ . The converse implication is easy.

From the above theorem, it is easy to show that  $\mathbf{KN}$  is also NP-complete.

Corollary 3.2 KN is NP-complete.

**Proof.** Clearly, **KN** is in the class NP. Let  $\Omega^{\text{EKN}} = \{ \boldsymbol{x} \in \{0,1\}^n \mid \boldsymbol{a}^T \boldsymbol{x} = b \}$ . Then the convex hull of  $\Omega^{\text{EKN}}$  is a face of the convex hull of  $\Omega^{\text{KN}}$ . It implies that for any pair of vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2$  in  $\Omega^{\text{EKN}}$ ,  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  are adjacent on the convex hull of  $\Omega^{\text{EKN}}$  if and only if  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  are adjacent on the convex hull of  $\Omega^{\text{EKN}}$  is polynomially reducible to **KN** and so, **KN** is NP-complete.

# 4 Set Covering Polytopes

In this section, we show that the following three problems are NP-complete. In the rest of this paper, the *d*-dimensional all one vector is denoted by  $\mathbf{1}_d$ .

## EQUALITY INTEGER PROGRAMMING NON-ADJACENCY (EIPN)

INSTANCE : An  $m \times n$  0-1 matrix A, an m-dimensional positive integer vector  $\boldsymbol{b}$  and a pair of 0-1 vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2$  in  $\Omega^{\text{EIPN}} = \{\boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} = \boldsymbol{b}\}.$ 

QUESTION : Are the vertices  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  non-adjacent on the convex hull of  $\Omega^{\text{EIPN}}$ ?

# INTEGER PROGRAMMING NON-ADJACENCY (IPN)

INSTANCE : An  $m \times n$  0-1 matrix A, an m-dimensional positive integer vector  $\boldsymbol{b}$  and a pair of 0-1 vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2$  in  $\Omega^{\text{IPN}} = \{\boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} \leq \boldsymbol{b}\}$ 

QUESTION : Are the vertices  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  non-adjacent on the convex hull of  $\Omega^{\mathrm{IPN}}$  ?

### SET COVERING NON-ADJACENCY (SCN)

INSTANCE : An  $m \times n$  0-1 matrix A, and a pair of 0-1 vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2$  in the set  $\Omega^{\text{SCN}} = \{\boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} \geq \mathbf{1}_m\}.$ 

QUESTION : Are the vertices  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  non-adjacent on the convex hull of  $\Omega^{\mathrm{SCN}}$  ?

First, we show the following theorem.

**Theorem 4.1 EIPN** is NP-complete. The problem remains NP-complete, even if each row of the matrix A contains exactly four 1's and the right-hand-side vector is the all two vector. If each row of the matrix A contains at most three 1's, we can decide whether given two vertices are adjacent or not in polynomial time.

**Proof.** We know that **EIPN** is in NP. Hence it will suffice to show that the following problem polynomially transforms to **EIPN**.

### **SET PARTITIONING** [8, 13]

INSTANCE : A  $p \times q$  0-1 matrix M.

QUESTION : Does there exist a 0-1 vector in  $\{z \in \{0,1\}^q \mid Mz = \mathbf{1}_p\}$ ?

This problem remains NP-complete, even if the matrix M satisfies the condition that each row of M contains exactly three 1's [8, 13]. (Here we note that the problem is different from the well-known **EXACT THREE COVER** problem.) Given such a 0-1 matrix M, we construct a  $(p + 5q) \times (6q + 3)$  0-1 matrix A as follows.

First, we prepare three artificial variables  $x_0^0, x_0^1$  and  $x_0^2$ . For each variable  $z_j$  of the **SET PARTITIONING** instance, we prepare six variables  $x_j^1, x_j^2, \ldots, x_j^6$  and construct following five constraints;

For each constraint of the **SET PARTITIONING** instance, we construct one constraint as follows. If the **SET PARTITIONING** instance contains the constraint  $z_i + z_j + z_k = 1$ , where the indices satisfy i < j < k, we construct the constraint  $x_0^0 + x_i^1 + x_k^4 + x_k^4 = 2$ .

Here, we give an example. Assume that we have the following **SET PARTITIONING**-**ING** instance, ~ 4

$$\{ \boldsymbol{z} \in \{0,1\}^4 \mid z_1 + z_2 + z_3 = 1, z_2 + z_3 + z_4 = 1 \}.$$

Then, by the above procedure, we obtain the matrix A illustrated in Fig.1.

Let  $\Omega^{\text{EIPN}} = \{ \mathbf{x} \in \{0,1\}^{(6q+3)} \mid A\mathbf{x} = \mathbf{2}_{(p+5q)} \}$  where  $\mathbf{2}_{(p+5q)}$  denotes the (p+5q)dimensional all two vector. If  $\overline{\boldsymbol{x}} \in \Omega^{\text{EIPN}}$  and  $\overline{x_0^1} = \overline{x_0^2} = 1$ , then the vector  $\overline{\boldsymbol{x}}$  is uniquely determined as

$$\overline{x_i^j} = \begin{cases} 1 & \text{if } i = 0, \\ 1 & \text{if } i \neq 0, j = 1, 2, \\ 0 & \text{if } i \neq 0, j = 3, 4, 5, 6. \end{cases}$$
(4.1)

In the case that  $\overline{\boldsymbol{x}} \in \Omega^{\text{EIPN}}$  and  $\overline{x_0^1} = \overline{x_0^2} = 0$ , the vector  $\overline{\boldsymbol{x}}$  is uniquely determined as

$$\overline{x_i^j} = \begin{cases} 0 & \text{if } i = 0, \\ 0 & \text{if } i \neq 0, j = 1, 2, \\ 1 & \text{if } i \neq 0, j = 3, 4, 5, 6. \end{cases}$$
(4.2)

Let  $\mathbf{x}' \in \Omega^{\text{EIPN}}$  be the vector satisfying the condition (4.1) and  $\mathbf{x}'' \in \Omega^{\text{EIPN}}$  the vector satisfying the condition (4.2). Now we show that  $\mathbf{x}'$  and  $\mathbf{x}''$  are non-adjacent on the convex hull of  $\Omega^{\text{EIPN}}$  if and only if the set  $\{\mathbf{z} \in \{0,1\}^q \mid M\mathbf{z} = \mathbf{1}_p\}$  is non-empty. Assume that  $\mathbf{x}'$  and  $\mathbf{x}''$  are non-adjacent. Then Lemma 2.3 implies that there exists

a vector  $\tilde{\boldsymbol{x}} \in \Omega^{\text{EIPN}}$  satisfying  $\widetilde{x_0^1} \neq \widetilde{x_0^2}$  and  $\widetilde{x_0^0} = 1$ . Then it is clear that  $\tilde{\boldsymbol{x}}$  satisfies the condition that for each index  $i \neq 0$ ,  $\widetilde{x_i^1} = \widetilde{x_i^2} = \widetilde{x_i^4} = \widetilde{x_i^6} \neq \widetilde{x_i^3} = \widetilde{x_i^5}$ . Let  $\tilde{\boldsymbol{z}} \in \{0,1\}^p$  be the vector satisfying  $\widetilde{z_i} = \widetilde{x_i^1}$ . Since  $\widetilde{x_0^0} = 1$ ,  $\widetilde{z}$  is contained in  $\{z \in \{0,1\}^q \mid Mz = \mathbf{1}_p\}$ .

Now consider the case that  $\exists \tilde{z} \in \{z \in \{0,1\}^q \mid Mz = \mathbf{1}_p\}$ . Let  $\tilde{x} \in \{0,1\}^{(3+6q)}$  be the vector satisfying

$$\widetilde{x_i^j} = \begin{cases} 1 & \text{if } i = 0, j = 0\\ 1 & \text{if } i = 0, j = 1\\ 0 & \text{if } i = 0, j = 2\\ \widetilde{z_i} & \text{if } i \neq 0, j = 1, 2, 4, 6\\ 1 - \widetilde{z_i} & \text{if } i \neq 0, j = 3, 5. \end{cases}$$

$x_0^0 x_0^1 x_0^2$	$x_1^1 x_1^2 x_1^3 x_1^4 x_1^5 x_1^6$	$x_2^1 x_2^2 x_2^3 x_2^4 x_2^5 x_2^6$	$x_3^1 x_3^2 x_3^3 x_3^4 x_3^5 x_3^6$	$x_4^1 x_4^2 x_4^3 x_4^4 x_4^5 x_4^6$
1 1	1 1			
1 1	1 1			
1 1	1 1			
1 1	1 1			
	1 1 1 1			
1 1		1 1		
1 1		1 1		
1 1		1 1		
1 1		1 1		
		1 1 1 1		
1 1			1 1	
1 1			1 1	
1 1			1 1	
1 1			1 1	
			1 1 1 1	
1 1				1 1
1 1				1 1
1 1				
1	1	1	1	1 1 1 1
1	1	1		1
1		1	1	1

Figure 1: The matrix A.

Then it is obvious that  $\mathbf{x}' \neq \tilde{\mathbf{x}} \neq \mathbf{x}''$ ,  $\tilde{\mathbf{x}} \in \Omega^{\text{EIPN}}$  and  $(\mathbf{x}', \tilde{\mathbf{x}}, \mathbf{x}'')$  is a monotone sequence. Thus, Lemma 2.3 implies that  $\mathbf{x}'$  and  $\mathbf{x}''$  are non-adjacent.

From the above discussions, it is clear that **EIPN** remains NP-complete, even if each row of the matrix A contains exactly four 1's and the right-hand-side vector is the all two vector.

Lastly, we show that if each row of the matrix A contains at most three 1's, we can decide whether given two vertices  $\boldsymbol{x}^1, \boldsymbol{x}^2$  are adjacent or not in polynomial time. Since each row of A contains at most three 1's, we can assume that  $\boldsymbol{b} \in \{1,2\}^m$ . Let  $I^1$  be the set of indices satisfying  $x_j^1 = x_j^2 = 1$ ,  $I^0$  the set of indices with  $x_j^1 = x_j^2 = 0$  and  $I = \{1, 2, \dots, n\} \setminus (I^1 \cup I^0)$ . Set  $\Omega^{\text{EIPN}} = \{\boldsymbol{x} \in \{0, 1\}^n \mid A\boldsymbol{x} = \boldsymbol{b}\}$ . and  $\Omega' = \{\boldsymbol{x} \in \Omega^{\text{EIPN}} \mid \forall j \in I^1, x_j = 1 \text{ and } \forall j \in I^0, x_j = 0\}$ . It is clear that  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  are adjacent on  $\text{conv}(\Omega^{\text{EIPN}})$  if and only if  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  are adjacent on  $\text{conv}(\Omega')$ .

Let A' be the submatrix of A consists of the column vectors of A indexed by I and  $\mathbf{b}' = \mathbf{b} - \mathbf{b}''$  where  $\mathbf{b}''$  is the sum of the column vectors of A indexed by  $I^1$ . Then it is clear that the adjacency structure of  $\operatorname{conv}(\Omega')$  is equivalent to that of  $\operatorname{conv}(\Omega'')$  where  $\Omega'' = \{\mathbf{y} \in \{0,1\}^I \mid A'\mathbf{y} = \mathbf{b}'\}$ . The definition of  $\mathbf{b}'$  directly implies that  $\mathbf{b}' \in \{0,1,2\}^m$ . From the definition of A' and  $\mathbf{b}'$ , it is clear that for each row vector  $\mathbf{a}^T$  of A', either  $\mathbf{a}^T$  contains exactly two 1's or  $\mathbf{a}^T$  is the zero-vector. Then the convex hull of  $\Omega''$ 

From the definition of A' and b, it is clear that for each row vector a' of A', either  $a^T$  contains exactly two 1's or  $a^T$  is the zero-vector. Then the convex hull of  $\Omega''$  is essentially equivalent to a face of a stable set polytope. Thus the adjacency test problem is polynomially solvable (see [6] for example).

We can show the following in a similar way with Corollary 3.2.

**Corollary 4.2 IPN** is NP-complete. It remains NP-complete, even if each row of the given matrix A contains exactly three 1's and the right-hand-side vector is the all two vector. If

each row of matrix A contains at most two 1's, we can decide whether given two vertices are adjacent or not in polynomial time.

**Proof.** Clearly, **IPN** is in the class NP. Let  $\Omega^{\text{EIPN}} = \{ \boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} = \boldsymbol{b} \}$ . Then the convex hull of  $\Omega^{\text{EIPN}}$  is a face of the convex hull of  $\Omega^{\text{IPN}}$ . It implies that **IPN** is NP-complete.

Now we show the case that each row of A contains exactly three 1's. Theorem 4.1 implies that both **EIPN** and **IPN** are NP-complete even if each row of the matrix A contains exactly four 1's and the right-hand-side vector is the all two vector. For each inequality constraint

$$x_h + x_i + x_j + x_k \le 2 \tag{4.3}$$

of the **IPN** instance, we construct four constraints

$$x_h + x_i + x_j \le 2, \ x_i + x_j + x_k \le 2, \ x_j + x_k + x_h \le 2, \ x_k + x_h + x_i \le 2.$$
 (4.4)

Then, it is clear that a 0-1 vector satisfies the constraint (4.3) if and only if it satisfies (4.4). Thus, **IPN** is NP-complete even if each row of the given matrix A contains exactly three 1's and the right-hand-side vector is the all two vector.

When each row of A contains at most two 1's, the system of constraints is essentially equivalent to that of a stable set problem. So, we can decide the adjacency in polynomial time (see [6] for example).

At the last of this section, we discuss the set covering polytope. In [7], Etcheberry proposed a sufficient (but not necessary) conditions for the adjacency on set covering polytopes. His conditions are discussed in [10] (see Chapter 1, Theorem 1.4.11 and Theorem 1.4.12). However, the following theorem indicates that we cannot expect an appropriate necessary and sufficient condition for adjacency on set covering polytopes.

**Theorem 4.3 SCN** is NP-complete even if each row of the given matrix A contains exactly three 1's. If each row of matrix A contains at most two 1's, we can decide whether given two vertices are adjacent or not in polynomial time.

## **Proof.** Clearly, **SCN** is in the class NP.

Now we discuss the relation between **SCN** and **IPN**. Let A be a 0-1 matrix satisfying each row of the given matrix A contains exactly three 1's. Put

$$\Omega^{\mathrm{IPN}} = \{ \boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} \leq \boldsymbol{2}_m \} \text{ and } \Omega^{\mathrm{SCN}} = \{ \boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} \geq \boldsymbol{1}_m \}$$

where  $\mathbf{2}_m$  be the *m*-dimensional all two vector. Then it is clear that for any 0-1 vector  $\mathbf{x} \in \{0,1\}^n$ ,  $\mathbf{x} \in \Omega^{\text{SCN}}$  if and only if  $\mathbf{1}_n - \mathbf{x} \in \Omega^{\text{IPN}}$ . So, given two vertices  $\mathbf{x}^1, \mathbf{x}^2 \in \Omega^{\text{SCN}}$  are adjacent on  $\text{conv}(\Omega^{\text{SCN}})$  if and only if  $\mathbf{1}_n - \mathbf{x}^1$  and  $\mathbf{1}_n - \mathbf{x}^2$  in  $\Omega^{\text{IPN}}$  are adjacent on  $\text{conv}(\Omega^{\text{SCN}})$ . Corollary 4.2 implies that **SCN** is NP-complete even if each row of A contains exactly three 1's.

When each row of A contains at most two 1's, Corollary 4.2 also implies that the adjacency test problem is polynomially solvable,

### 5 Implicant Systems

In [9, 10], Hausmann and Korte introduced a implicant system for representing a system of subsets. Let E be a finite set and  $S \subseteq 2^E$  a system of subsets of E. An *implicant* on E is an ordered pair  $(I^+; I^-)$  of disjoint subsets  $I^+, I^-$  of E. We say  $(I^+; I^-)$  is an implicant of S when for any  $F \subseteq E$ ,

if 
$$I^+ \subseteq F$$
,  $I^- \cap F = \emptyset$ , then  $F \notin S$ .

For any implicant  $(I^+; I^-)$ , we say that the *size* of the implicant is  $|I^+| + |I^-|$ . A set of implicants of S is called a *complete set of implicants* of S when it satisfies the condition that for all subset  $F \notin S$ , there exists an implicant  $(I^+; I^-)$  satisfying  $I^+ \subseteq F$ ,  $I^- \cap F = \emptyset$ . Clearly, any system of subsets S has a complete set of implicants  $\{(F; E \setminus F) \mid F \notin S\}$ .

Let  $\Omega \subseteq \{0,1\}^E$  be the set of characteristic vectors corresponding to the subsets in  $S \subseteq 2^E$ . Then it is clear that, a pair  $(I^+; I^-)$  is a implicant of S if and only if the inequality

$$\sum_{i \in I^+} x_i - \sum_{j \in I^-} x_j \le |I^+| - 1$$

is a valid inequality of  $\Omega$ . In this paper, we say that an inequality  $\boldsymbol{a}^T \boldsymbol{x} \leq b$  is an *implicant* type inequality when it satisfies the conditions that  $\boldsymbol{a}$  is a  $\{0, 1, -1\}$ -vector and  $\boldsymbol{b}$  is equivalent to the number of 1's in  $\boldsymbol{a}$  minus 1. When  $\mathcal{I}$  is a complete set of implicants of a system of subsets  $\mathcal{S} \subseteq 2^E$ , we denote the set of characteristic vectors corresponding to the subsets in  $\mathcal{S}$  by  $\Omega(\mathcal{I})$ .

For many well-known combinatorial polytope, e.g., stable set polytopes, partial ordering polytopes, complete sets of implicants for the feasible solutions can easily be obtained (see [9, 10, 11]). In this section, we discuss the adjacency relation of a 0-1 polytope represented by a complete set of implicants.

# IMPLICANT NON-ADJACENCY (IN)

INSTANCE : A finite set E, a set  $\mathcal{I}$  of implicants on E and 0-1 vectors  $\boldsymbol{x}^1, \boldsymbol{x}^2 \in \Omega(\mathcal{I})$ . QUESTION : Are the vertices  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  non-adjacent on the convex hull of  $\Omega(\mathcal{I})$ ?

In [9, 10], Hausmann and Korte showed that when the size of each implicant is equal to two, we can decide the adjacency of two given vectors in  $\Omega(\mathcal{I})$  in polynomial time. In [11], Ikebe and Tamura discussed a system of subset represented by a set of implicants with size 2.

## **Theorem 5.1 IN** is NP-complete even if the size of each implicant is equal to three.

**Proof.** We know that IN is in NP. Here we show that IPN polynomially transforms to IN.

Corollary 4.1 showed that **IPN** is NP-complete even if each row of given matrix contains exactly three 1's and the right-hand-side vector is the all two vector. In such a case, each constraint is an implicant type inequality. So, **IPN** is polynomially reducible to **IN** such that the size of each implicant is equal to three.  $\Box$ 

# 6 Equality Constrained 0-1 Polytopes

Lastly, we discuss the relation between the adjacency test problems and the optimization problems defined on the equality constrained 0-1 polytopes.

**Theorem 6.1** Let A be an  $m \times n$  matrix and  $\mathbf{b}$  an m-dimensional vector. The set of 0-1 vectors  $\{\mathbf{x} \in \{0,1\}^n \mid A\mathbf{x} = \mathbf{b}\}$  is denoted by  $\Omega$ . If the optimization problem  $\max\{\mathbf{c}^T \mathbf{x} \mid \mathbf{x} \in \Omega\}$  is polynomially solvable for any n-dimensional vector  $\mathbf{c}$ , then we can decide whether two given vectors  $\mathbf{x}^1, \mathbf{x}^2 \in \Omega$  are adjacent or not in polynomial time.

**Proof.** Let  $x^1, x^2$  be a pair of distinct vectors in  $\{x \in \{0, 1\}^n \mid Ax = b\}$ . Without loss of generality, we can assume that there exists an index j such that  $x_j^1 = 1$  and  $x_j^2 = 0$ .

Let c be the cost vector satisfying

$$c_{i} = \begin{cases} (n+1)^{4} & \text{if } x_{i}^{1} = x_{i}^{2} = 1, \\ -(n+1)^{3} & \text{if } x_{i}^{1} = x_{i}^{2} = 0, \\ (n+1)^{2} & \text{if } i = j, \\ -1 & \text{if } x_{i}^{1} = 1, x_{i}^{2} = 0, i \neq j, \\ 1 & \text{if } x_{i}^{1} = 0, x_{i}^{2} = 1. \end{cases}$$

We shall show that the optimal value of the problem  $\max\{\boldsymbol{c}^T\boldsymbol{x} \mid A\boldsymbol{x} = \boldsymbol{b}, \, \boldsymbol{x} \in \{0,1\}^n\}$  is greater than  $\boldsymbol{c}^T\boldsymbol{x}^1$  if and only if  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  are non-adjacent. Clearly,  $\boldsymbol{c}^T\boldsymbol{x}^1 > \boldsymbol{c}^T\boldsymbol{x}^2$ . Lemma 2.3 implies that when  $\boldsymbol{x}^1$  and  $\boldsymbol{x}^2$  are not adjacent, there exists a vector  $\boldsymbol{y} \in \{\boldsymbol{x} \in \{0,1\}^n \mid A\boldsymbol{x} = \boldsymbol{b}\}$  such that  $\boldsymbol{x}^1 \neq \boldsymbol{y} \neq \boldsymbol{x}^2$ ,  $(\boldsymbol{x}^1, \boldsymbol{y}, \boldsymbol{x}^2)$  is a monotone vertex sequence and  $\boldsymbol{y}_j = 1$ . Then it is clear that  $\boldsymbol{c}^T\boldsymbol{y} > \boldsymbol{c}^T\boldsymbol{x}^1$ . Thus the optimal value of the problem  $\max\{\boldsymbol{c}^T\boldsymbol{x} \mid A\boldsymbol{x} = \boldsymbol{b}, \, \boldsymbol{x} \in \{0,1\}^n\}$  is greater than  $\boldsymbol{c}^T\boldsymbol{x}^1$ . The inverse implication is now clear.

Thus, by solving the problem  $\max\{\boldsymbol{c}^T\boldsymbol{x} \mid A\boldsymbol{x} = \boldsymbol{b}, \ \boldsymbol{x} \in \{0,1\}^n\}$ , we can test the adjacency of given two vertices.

The above proof says that we can test the adjacency of two vertices on equality constrained 0-1 polytope by solving an optimization problem defined on the given polytope. Since there exists a pseudo-polynomial time algorithm for the equality constrained knapsack problem [5], we can check the adjacency of two vertices on an equality constrained knapsack polytope in pseudo-polynomial time.

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